For Internal Use Submit 1 Original Only OMB APPROVAL and 9 Copies Sec File No. 9-Mail Processing OMB Number: 3235-0504 Expires: August 31, 2010 UNITED STATES Estimated average burden NOV 2 4 ZUT/SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 Washington DC FORM 19b-4(e) 411 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: MSCI USA Select Value Momentum Blend Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **UEVM** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A 17004045 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele Securities and Exchange Commission **Assistant Corporate Secretary** Trading and Markets Telephone Number: 301-978-8736 Manual Signature of Official Responsible for Jorm:

Date: November 08, 2017

SEC 2449 (6-01)

Act Section

Rule

Public

Availability:

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